

Exhibit B

Disclosure of Information for Prospective Professional Service Provider to Municipal Pension System

The following disclosure information is required under Act 44 of 2009. Failure to fully comply with these requirements may void any contract with the municipal pension system.

Answer the following questions pertaining to any individuals who will be providing services under the proposed contract.

1. Are any of them current or former officials or employees of the Borough of Weatherly? N/A
2. Are any a registered federal or state lobbyist? N/A
3. Have any made a contribution in the past two years to a municipal official or candidate for office at the Borough of Weatherly? N/A
4. Do any have a direct financial, commercial, or business relationship with any official of the Borough of Weatherly or municipal pension system? N/A

Answer the following regarding all your firm and affiliated entities.

1. On an attached sheet of paper, disclose all contributions from you or an “affiliated entity” (as defined in Act 44 of 2009) to which all of the following apply: N/A
 - a. The contribution was made within the last five years.
 - b. The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the firm or “affiliated entity.”
 - c. The amount of the contribution was at least \$500 in the form of
 - i. a single contribution by a person in subparagraph (b) above; or
 - ii. the aggregate of all contributions by all persons listed in subparagraph (b) above.
 - d. The contribution was made to:
 - i. a candidate for any public office in the Commonwealth or to an individual who holds that office; or
 - ii. a political committee of a candidate for public office in the Commonwealth or of an individual who holds that office.
 - e. The disclosures made under this subsection shall be updated annually.
2. For each contribution disclosed in your answer to No. 1 above, please provide the following information: N/A
 - a. the name and address of the contributor;
 - b. the contributor’s relationship to the Contractor;
 - c. the name and office or position of each person receiving a contribution;

- d. the amount of the contribution; and
 - e. the date of the contribution.
3. Disclose any gifts given by you or an “affiliated entity” to an official or employee of the municipal pension system or the municipality which controls the municipal pension system.

List any additional potential or actual conflicts of interest that may exist relative to contracting of services with the Borough of Weatherly.

Names of Elected Officials	Names of Appointed Officials
None	None

A person who knowingly makes a material misstatement or omission on this disclosure form will be prohibited from entering into a contract with the Borough of Weatherly for three years.

VERIFICATION

I, Lee N. Pace, hereby state that I am Vice President for Institutional Advisors LLC and I am authorized to make this verification.

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Entities Providing Professional Services to Borough of Weatherly Pension System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding Applicant to the penalties in Section 705-A(e) of Act 44.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.



 Signature

June 17, 2014

 Date

List of Elected and Appointed Officials